



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

PATENT APPLICATION

Inventor(s): Tue Nguyen
Appl. No.: 10/636,020
Confirm. No.: 1015
Filed: August 7, 2003
Title: Replaceable Shielding Apparatus

Art Unit: 1734
Examiner: Laura Estelle Edwards

Customer No. 23910

CERTIFICATE OF TRANSMISSION/MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Susan Boucher

(Signature)

Name: Susan Boucher

Signature Date:

February 28, 2006

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.56

Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

It is requested that the information identified in this statement be considered by the Examiner and made of record in the above-identified application. This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56. If this is a continuation, divisional or continuation-in-part application, it is understood that the Examiner will consider all information which was considered by the Office in a parent application. MPEP §609. Such information therefore is not listed herein unless it is desired that the information be printed on a patent issuing from the subject application.

Enclosed with this statement are the following:

- ☒ Form PTO-1449. The Examiner is requested to initial the form and return it to the undersigned in accordance with M.P.E.P. §609.
- ☒ As allowed under 37 C.F.R. § 1.98(a)(2)(ii), no copies of the U.S. patents and U.S. patent application publications are enclosed, unless required by the office.
- ☐ As allowed under 37 CFR §1.98(d), copies of cited documents noted with an asterisk are not enclosed because they were previously submitted in U.S. Patent Application No. ____/_____, which is relied on for an earlier effective filing date under 35 USC §120, and which included an Information Disclosure Statement that complies with 37 CFR §1.98(a) through (c).
- ☒ A copy of an International Search Report dated Mar. 19, 1999 for Application No. PCT/US98/25437.

— If any of the cited/submitted documents is in a foreign language, a concise explanation of relevance is provided pursuant to 37 C.F.R. §1.98(a)(3)(i). For foreign language documents cited in a search report by a foreign patent office, the requirement for a concise explanation of relevance is satisfied by the submission herewith of an English language version of the search report. MPEP §609A(3). If a written English-language translation of a non-English language document, or portion thereof, is within the possession, custody or control of, or is readily available to any individual designated in §1.56(c), a copy of the translation accompanies this statement, 37 C.F.R. §1.98(a)(3)(ii), and satisfies the requirement for a concise explanation of relevance, MPEP §609A(3).

This statement should be considered because:

✓ 37 C.F.R. §1.97(b). This statement qualifies under 37 C.F.R. §1.97, subsection (b) because:

- (1) It is being filed within three months of the filing date of an application other than a continued prosecution application under § 1.53(d);
-- OR --
- (2) It is being filed within 3 months of entry of a national stage;
-- OR --
- (3) It is being filed before the mailing date of the first Office Action on the merits,
-- OR --
- (4) It is being filed before the mailing date of the first Office Action after the filing of a Request for Continued Examination under 37 C.F.R. §1.114.

— 37 C.F.R. §1.97(c). Although it may not qualify under subsection (b), this statement qualifies under 37 C.F.R. §1.97, subsection (c) because:

- (1) It is being filed before the mailing date of a FINAL Office Action, a Notice of Allowance, or an action that otherwise closes prosecution in the subject application, whichever occurs first.
-- AND (check at least one of the following) --
— (1) It is accompanied by a STATEMENT as set forth in 37 C.F.R. §1.97(e).
-- OR --
— (2) It is accompanied by the \$180 fee set forth in 37 C.F.R. §1.17(p).

— 37 C.F.R. §1.97(d). Although it may not qualify under subsection (b) or (c), this statement qualifies under 37 C.F.R. §1.97, subsection (d) because:

- (1) It is being filed on or before payment of the Issue Fee;
-- AND --
- (2) It is accompanied by a STATEMENT as set forth in 37 C.F.R. §1.97(e);
-- AND --
- (3) It is accompanied by the \$180 fee set forth in 37 C.F.R. §1.17(p).

— **PTA Statement under 37 C.F.R. §1.704(d).** Each item of information contained in the *Information Disclosure Statement* was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of the *Information Disclosure Statement*.

— 37 C.F.R. §1.97(e)(1). THE UNDERSIGNED ATTORNEY HEREBY STATES THAT each item of information contained in this *Information Disclosure Statement* was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this *Information Disclosure Statement*; or

— 37 C.F.R. §1.97(e)(2). THE UNDERSIGNED ATTORNEY HEREBY STATES THAT no item of information contained in this *Information Disclosure Statement* was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this statement after making reasonable inquiry, no item of information contained in this *Information Disclosure Statement* was known to any individual designated in §1.56(c) more than three months prior to the filing of this statement.

✓ **Fee Authorization.** The Commissioner is hereby authorized to charge any deficiencies or credit any overpayment to Deposit Account No. 06-1325. A duplicate copy of this authorization is enclosed.

Respectfully submitted,

FLIESLER MEYER LLP

Date: 2/28/06

By: _____

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Sheet 1 of 2

Form PTO-1449
(Substitute)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

Attorney Docket Number
TEGL-01168US0

Application/Patent Number
10/636,020

Information Disclosure Statement
BY APPLICANT
(Use several sheets if necessary)

Applicant/Patent Owner
Tue Nguyen

Filing/Issue Date
August 7, 2003

Group Art Unit
1734

U.S. PATENTS

Examiner Initial	Patent Number	Issue Date	Inventor(s)	Class	Subclass	Filing Date
	3,514,391	05/1970	Hablanian <i>et al.</i>			
	5,804,046	09/1998	Sawada <i>et al.</i>			
	5,837,057	11/1998	Koyama <i>et al.</i>			
	4,033,287	07/1977	Alexander, Jr. <i>et al.</i>			
	5,277,751	01/1994	Ogle			
	5,417,798	05/1995	Nishibayashi <i>et al.</i>			
	5,445,709	08/1995	Kojima <i>et al.</i>			
	5,503,725	04/1996	Sablev <i>et al.</i>			
	5,800,619	09/1998	Holland <i>et al.</i>			
	5,814,154	09/1998	Boitnott <i>et al.</i>			
	5,846,331	12/1998	Miyamoto			
	5,874,704	02/1999	Gates			
	5,968,275	10/1999	Lee <i>et al.</i>			
	5,922,223	07/1999	Okumura <i>et al.</i>			
	5,993,594	11/1999	Wicker <i>et al.</i>			
	6,006,694	12/1999	DeOrnellas <i>et al.</i>			
	6,026,764	02/2000	Hwang			
Examiner	Date Considered					

Form PTO-1449 (Substitute)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		Attorney Docket Number TEGL-01168US0	Application/Patent Number 10/636,020
Information Disclosure Statement BY APPLICANT <i>(Use several sheets if necessary)</i>				Applicant/Patent Owner Tue Nguyen	
				Filing/Issue Date August 7, 2003	Group Art Unit 1734
U.S. PATENTS					
		6,046,425	04/2000	Kaji et al.	
		6,050,217	04/2000	Li	
		6,059,922	05/2000	Yamazaki et al.	
		6,067,930	05/2000	Minato et al.	
		6,170,431	01/09/01	DeOrnellas et al.	
		6,173,674	01/2001	DeOrnellas et al.	
OTHER DOCUMENTS (Include author (if any), title, publisher and place of publication, date and pertinent pages)					
		Reinberg, <i>Plasma Etch Equipment Technology</i> , M. Triodes, Perkin-Elmer Corporation, Norwalk, CT, 1989 by Academic Press, Inc. ISBN 0-12-469370-9; pp. 350-351.			
		Plasma Etching Technology, Feb. 10-11, 1997, Burlingame, CA, Continuing Education in Engineering. University Extension. U. of California, Berkeley, <i>Plasma Etching Technology, An Overview</i> , D.L. Flamm, D.L. Flamm 1992-1996, title95011501v2, D.L. Flamm 1995, src5012608, 3 pgs.			
Examiner			Date Considered		
<p>*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p> <p>_____</p> <p>*1 = Copy not submitted because it was submitted in prior application SN __/_____, filed _____, 20____, relied on under 35 USC §120.</p> <p>**2 = Copy not submitted because it was submitted in prior application SN __/_____, filed _____, 20____, relied on under 35 USC §120.</p>					